



## New York City Campaign Finance Board

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### John A Catsimatidis, Independent Spender (2013)

#### **1. Failure to use a “paid for by” notice \$1,473**

When an independent spender makes covered expenditures of \$100 or more aggregating \$1,000 or more during an election cycle, the communication associated with the expenditure that meets the \$1,000 threshold and all subsequent communications, regardless of dollar value, shall include the words “paid for by” followed by the name of the independent spender. *See* Board Rule 13-04.

On November 1, 2013, the Spender reported a mass mailing with a total value of \$14,738.28. The mailing did not include a “paid for by” notice, or any indication of who was responsible for it. The Spender did not contest this violation.

The Board assessed a penalty of \$1,473 for this violation.

#### **2. Intentional submission of false documentation \$10,000**

Every individual and entity that makes independent expenditures aggregating \$1,000 or more in support of or opposition to any specific candidate in any covered election must disclose such expenditures to the Board. For each reported communication, the independent spender must provide an accurate electronic or paper copy of the communication. Each spender signs a notarized verification form stating that they are responsible for the accuracy and completeness of all disclosure statements filed with the Board. A spender who intentionally or knowingly makes a false written statement to the CFB may be subject to civil and criminal sanctions, including a penalty of up to \$10,000 per violation. *See* N.Y.C. Charter §§ 1052 (a) (15)(b), (c), (d); Board Rule 13-02(b), Independent Spender Verification Form.

As detailed in violation #1, on November 1, 2013, the Spender submitted a required disclosure statement reporting a single communication with several associated expenditures totaling \$14,738.28. The copy of the communication submitted with this statement did not include a “paid for by” notice as required by Board Rule 13-04.




Following notification by the CFB that the submission did not include the required notice, the Spender stated that the document submitted to the CFB was a draft. The Spender subsequently submitted copies of the communication featuring a “paid for by” notice. However, the second submission bore indicia of not being a true copy of the piece mailed to the public. The “paid for by” notice was located outside the crop marks delineating the borders of the viewable area of a printed document. In addition, the color copy showed a line surrounding the “paid for by” notice, suggesting that it was taped onto a hard copy of the communication.

Based on this evidence, CFB staff suspected that the “paid for by” notice had been added after the fact, and that the documents submitted by the Spender did not represent true copies of the communication as it had been mailed. CFB staff requested that the Spender provide a hard copy original of the communication. The Spender acknowledged the request, but did not provide the requested documentation.

The Board assessed a penalty of \$10,000 for this violation.

# WHAT ERIC ULRICH SAYS AND WHAT HE DOES ARE TWO DIFFERENT THINGS

## Ulrich Says:

-  I Will Never Support Tax Increases
-  I Will Represent My Community in City Hall
-  I Will Fight to Preserve the Middle Class

## Ulrich Does:

-  He Voted for the Budget that Increased Your Property Taxes
-  He Has One of the Worst Attendance Records in the City Council
-  He Voted for Legislation That Will Cripple and Over-Tax Small Business Owners

Paid for by John Catsimatidis

# ULRICH: SIMPLY WRONG

**THERE ARE TWO SIDES  
TO REPUBLICAN  
ERIC ULRICH:**



**HE SAYS ONE THING IN THE COMMUNITY  
AND VOTES THE OPPOSITE WAY  
IN CITY HALL**

**ULRICH: SIMPLY WRONG**